

温州肯恩大学文件

温肯大发〔2021〕45号

关于印发《温州肯恩大学国际科技合作项目管理 办法（试行）》的通知

各部门、各学院：

《温州肯恩大学国际科技合作项目管理办法（试行）》已在2021年第七十二次校务会上通过，现印发给你们，请遵照执行。

特此通知。

附件：温州肯恩大学国际科技合作项目管理办法（试行）

温州肯恩大学
2021年9月26日

温州肯恩大学国际科技合作项目管理办法 (试行)

第一章 总 则

第一条 为进一步推进与境外大学、科研学术机构、跨国公司及国际组织的科研合作，搭建科技创新国际合作平台，推动学校科研发展，结合学校实际情况，特制定本办法。

第二条 本办法规定的国际科技合作项目支持学校教职员工开展基础研究、技术研发、技术转移和产业化国际科技合作项目，包括学校与美国肯恩大学科技合作项目、学校与其他境外大学、科研学术机构、跨国公司及国际组织及其正式教职员工科技合作项目。

第二章 项目申请、评审及立项

第三条 国际科技合作项目负责人应为本校全职教职员工。申请人应具备以下条件：

- (一) 具有博士学位或副高及以上职称(含助理教授)；
- (二) 具有组织开展国际科技合作的能力，能够承担实质性研究工作；
- (三) 无科研诚信不良记录。

第四条 国际科技合作项目合作方应具备以下条件：

- (一) 合作方是美国肯恩大学或其他境外大学、科研

学术机构、跨国公司及国际组织正式教职员工并从事科学研究工作；

(二) 合作方主要负责人具有博士学位或副高及以上职称；

(三) 无科研诚信不良记录。

第五条 申请的国际科技合作项目应具备以下条件：

(一) 属于学校支持建设发展的学科领域；

(二) 双方具备一定的合作基础；

(三) 双方已签订开展科技合作的意向书或合同协议；

(四) 科技合作的成果应由学校所有或各方共有；

(五) 项目合作内容和方式应符合我国及各合作机构所在国家（地区、国际组织）有关法律法规和科研伦理相关规定。

第六条 申请人需提交申请书、合作方身份、工作证明、学位及职称证明、科研合作的意向书或合同协议等。

第七条 项目负责人当年度内限申报 1 个校内国际科技合作项目或教职工科研项目。已获得校内其他科研项目资助的相同或相似研究内容的科研项目不能重复申请本项目。项目负责人在项目结题后方可再次申请本项目。

第八条 科研办对申请材料进行形式审查后，组织委托校内外专家进行评审，专家意见得分占评审总分 60%。学术副校长会同科研办等部门组成评审小组，根据专家评审意见及学校学科、科研建设需求进行评分，该项得分占评审总分 40%。

第九条 评审小组根据评分情况及科研经费预算，确定资助项目及资助额度。自然科学类项目最高资助额度为 30 万元；人文社科类项目最高资助额度为 8 万元。

第十条 资助项目和资助额度经校务会研究同意并公示后予以下达。

第三章 经费及项目管理

第十一条 原则上，项目执行时间最长不超过两年，根据项目实际进度安排预算。

第十二条 该项目属于校内科研项目经费，其日常管理按照《温州肯恩大学科研项目经费使用管理办法（2021 年修订）》执行。

第十三条 项目的评估、变更、结题等管理参照《温州肯恩大学 SpF/SpS/SSpF 项目管理办法（2021 年修订）》执行。

第四章 附 则

第十四条 本办法自印发之日起执行，由学校科研办负责解释。

Wenzhou-Kean University

Regulations for International Collaborative Research Program (Interim)

Chapter I General Principles

Article 1 The regulations hereby are formulated in combination with the actual situation of the University in order to enhance research cooperation with overseas universities, academic research institutions, multinational industries and international organizations, fully support the establishment of international research collaborative platforms, and promote the development of University's research.

Article 2 The regulations are applicable to all faculty and staff members to carry out basic research, technology research and development, technology transfer, and industrialization of international collaborative research, including research cooperation program between the Wenzhou-Kean University and Kean University, WKU and other overseas universities, academic research institutions, multinational industries and international organizations and research cooperation with their official faculty and staff.

Chapter II Application and Evaluation

Article 3 Applicants should be full-time faculty and staff members, and meet the following qualifications:

Applicants must (1) have obtained a doctorate degree or have a tenure track faculty appointment at WKU, (2) have the experience and ability to undertake international collaborative research evidenced by prior peer-reviewed journal articles and/or externally funded research grants, and (3) have no bad record of research integrity.

Article 4 Partners of international research collaborative program should meet the following qualifications:

Partners must be (1) official faculty and staff members from Kean University or

other universities, academic research institutions, multinational industries and international organizations, and engaged in research; (2) have obtained a doctorate degree or a secondary senior title or above; (3) have no bad record of research integrity.

Article 5 The international collaborative research program should meet the following conditions:

(1) The research field should be supported by WKU for construction and development;

(2) Both parties should have a specific basis for cooperation;

(3) Both parties have signed a letter of intent or contract agreement;

(4) The outcomes of international collaborative research program should be owned by WKU or shared by all parties;

(5) The program should be in line with relevant laws and regulations of both parties and relevant regulations of scientific research ethics.

Article 6 The applicant shall submit the proposal, partner's identity, education background, letter of employment, and the letter of intent or contract agreement to the Office of Research and Sponsored Programs (ORSP).

Article 7 Applicant can apply for either international collaborative research program or internal faculty/staff research support program within the same year. The support internal research programs with the same or similar research topics are not be funded twice. Applicant can apply for this program again after the successful completion of the last project.

Article 8 After the ORSP undertakes a serious check of the accuracy, integrality, and reliability of the applications, (1) the ORSP shall organize the internal and external reviewers to conduct peer reviews. The evaluation of internal and external reviewers for an application accounts for 60% of the total score; (2) the WKU Internal Research Program Panel led by the Vice Chancellor for Academic Affairs and consisted of the ORSP will conduct reviews based on the peer-reviewers' comments

and the needs of development of academic and research programs at WKU. The evaluation of the WKU Internal Research Program Panel accounts for 40% of the total score.

Article 9 The final decisions on funded research projects should be made by the WKU Internal Research Program Panel based on the evaluations delineated above and the budget. The fund amount for natural science projects is up to 300,000 yuan; the fund amount for humanities/social science projects are up to 80,000 yuan.

Article 10 The decisions on funding will be official upon the approval from the Administration Meeting and an announcement to the public.

Chapter III Grant's Fiscal and Program Management

Article 11 The project is funded for no more than two years. The budget is allocated according to the actual progress of the project.

Article 12 The funds must be expended complying with the terms in the *Wenzhou-Kean University Regulations on Research Funds Management (Modified in 2021)*.

Article 13 The management of the project evaluation, change, and the procedure of concluding the funded projects shall comply with the *Wenzhou-Kean University Regulations for Student Partnering with Faculty/Staff Research Program and Summer Student Partnering with Faculty Research Program (Modified in 2021)*.

Chapter IV Supplementary Rule

Article 14 These Regulations shall enter into force upon promulgation. Office of Research and Sponsored Programs will be responsible for the interpretation of the regulation.